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**PAPER** 

12/27/2007

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/645,304 . Samuel I. Stupp **NANO 104 US2** 08/21/2003 62249 7590 12/27/2007 **EXAMINER** BENET GROUP LLC C/O INTELLEVATE CORDERO GARCIA, MARCELA M P.O. BOX 52050 ART UNIT PAPER NUMBER MINNEAPOLIS, MN 55402 1654 MAIL DATE **DELIVERY MODE** 

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



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APPLICATION NO.I CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
10645304	9/21/02	CTUDD ET AL	NANO 104 US2

10645304

8/21/03

STUPP ET AL.

NANO 104 US2

BENET GROUP LLC C/O INTELLEVATE P.O. BOX 52050 MINNEAPOLIS, MN 55402 EXAMINER

Marcela M. Cordero Garcia

ART UNIT PAPER

1654

20071214

DATE MAILED:

# Please find below and/or attached an Office communication concerning this application or proceeding.

### **Commissioner for Patents**

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R.. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Please direct all replies to the United States Patent and Trademark Office via one (1) of the following:

- 1. Electronically submitted through EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. Mailed to:

Mail Stop Sequence

Commissioner for Patents

P.O. Box 22313 1450

Alexandria, VA 22313 1450

3. Hand Carry, Federal Express, United Parcel Service or other delivery service to:

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Randolph Building

401 Dulaney Street

Alexandria, VA 22314

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Marcela M Cordero Garcia whose telephone number is (571) 272-2939. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Cecilia Tsang, whose telephone number is (571) 272-0562.

Marcela M Cordero Garcia Patent Examiner Art Unit 1654

Call \Sy Continue. Tenny England Exeminal Contor 1880

# Notice to Comply M M Cordero Garcia Applicant(s) Stupp et al. Art Unit 1654

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).				
2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).				
3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).				
4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."				
5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).				
☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).				
☐ 7. Other:				
Applicant Must Provide:  ☑ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".				
An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.				
A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).				
For questions regarding compliance to these requirements, please contact:				
For Rules Interpretation, call (703) 308-4216 or (703) 308-2923 For CRF Submission Help, call (703) 308-4212 or 308-2923 PatentIn Software Program Support				
Technical Assistance				

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# STIC Biotechnology Systems Branch

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1 EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/24/05

Rang Sequence Litting Error Summary

ATTHEMEN RULES CASES INTEREST CONNECTION SCRIME HUMBER 10/64/3049	
ATTHE NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH IVERE INSERTED BY PTO SOFTWARE  Wrapped Aminos  The aumberhest at the end of each line "wrapped" down to the next line. This may occur if your file  prevent "wrapping."	
Transfer to the second	
2Invalid Line Length The rules require that a line not exceed 72 characters in length. This includes white spaces.	
Misslighed Amino The numbering under each 5° amino acid is misslighed. Do not use tab codes between numbers:	
The submitted file was not saved in ASCII(DOS) icel as required by the Sequence Rules Plant	
Sequence(s) contain n's or Xaa's representing more than one estidue. Per Sequence Rules, residue having variable length and indicate in the <220>.<22)> section that some may be missing.  A "bug" in Patentla version 2.0.	
A "bug" in Patentin version 2.0 has caused the <220>-<22)> section that some may be missing sequences(s)  Previously coded nucleic acid sequence. Please manually copy the relevant <220>-<22)> section to be missing from animo acid the subsequent amino acid sequence. Please manually copy the relevant <220>-<221> section to Artificial or Unknown sequences. This applies to the mandatory <220>-<221> sections for	
Skipped Sequences  Sequence(s)missing	
Please also adjust the "fit] NUMBER OF SEQUENCES (ESPONSED INCLUSED	
(NEW RULES) Sequence id number (400) sequence	
Use of n s or X as 1. Use of n's and/or X as 's his we been desected in the Sequence Listing  (NEW RULES)  Per 1 813 of Sequence Rules, use of <710 · <711 · is MANDATORY if n's or X as a sic present  10 · <710 · io <713 > section, please explain location of n or X as and which are	
Per 1 82) of Sentence D.	
11 Use of cito.	·
Use of <220> to <223> is MANDATIMES of <211> Deginism response is "Artificial Sequence of "Unknown" Please explain source of genetic material in <220> to <223> section (See "Federal Register," 00701/1998, Vol. 6), No. 104, pp. 29631-323 (See 1.82) of Sequence Rules)  "bug"  These do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file listing. Instead, please use "File Manager" or any other minual meant to come favored.	~
listing). Instead, please use "File Manager" or any other minual means to copy file to floppy dist.  "n" can only represent a single nucleotide: "Xaa" can only represent a single amino acid	

AMC - Diotechnology Systems Branch - 02/07/2003



IFWO

RAW SEQUENCE LISTING

file://C:\CRF4\OUTHOLD\VsrJ645304A.htm

DATE: 04/29/2005

PATENT APPLICATION: US/10/645,304A

TIME: 15:13:57

Input Set : D:\Angiogenix 1001 Sequence.txt Output Set: N:\CRF4\04292005\J645304A.raw

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3 <110> APPLICANT: Samuel , Stupp I.
     5 <120> TITLE OF INVENTION: CHARGED PEPTIDE-AMPHIPHILE SOLUTIONS & SELF ASSEMBLED
PEPTIDE
             NANOFIBER NETWORKS FORMED THEREBY
     8 <130> FILE REFERENCE: 126481.1001
    10 <140> CURRENT APPLICATION NUMBER: 10/645,304A
    11 <141> CURRENT FILING DATE: 2003-08-21
    13 <150> PRIOR APPLICATION NUMBER: 60/406,016
                                                         Dres Not Comply
    14 <151> PRIOR FILING DATE: 2002-08-21
                                                         Corrected Diskette Needed
    16 <160> NUMBER OF SEQ ID NOS: 22
    18 <170> SOFTWARE: PatentIn version 3.2
    20 <210> SEQ ID NO: 1
    21 <211> LENGTH: 7
                                     What is the source of genetic material?
    22 <212> TYPE: PRT
    23 <213> ORGANISM: Artificial
    25 <220> FEATURE:
    26 <223> OTHER INFORMATION: (Cystine with a 16 carbon alkyl chain attached
    28 <400> SEQUENCE: 1
    30 Cys Cys Cys Cly Gly Gly
    34 <210> SEQ ID NO: 2
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    35 <211> LENGTH: 7
    36 <212> .TYPE: .PRT
    37 <213> ORGANISM: Artificial
    39 <220> FEATURE
    40 <223> OTHER INFORMATION: Alanine with a 16 carbon alkyl chain attached
    42 <400> SEQUENCE: 2
    44 Ala Ala Ala Gly Gly Gly
    45 1
    48 <210> SEQ ID NO: 3
                                     What is the source of genetic ?
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    50 <212> TYPE: PR2
    51 <213> ORGANISM: Artificial
    53 <220> FEATURE:
    54 <223> OTHER INFORMATION: (Serine with a 16 carbon alkyl chain attached)
    56 <400> SEQUENCE: 3
    58 Ser Leu Ser Leu Gly Gly Gly
    59 1
    62 <210> SEQ ID NO:
                                       What is the source of genetic
    63 <211> LENGTH: 7
    64 <212> TYPE: PRT
    65 <213> ORGANISM: Artificial
    67 <220> FEATURE:
    68 <223> OTHER INFORMATION Cystein with a 16 carbon alkyl chain attached
                                                   7 see item # !! `
erron summan
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RAW SEQUENCE LISTING
                                                        DATE: 04/29/2005
                PATENT APPLICATION: US/10/645,304A
                                                         TIME: 15:13:57
                Input Set : D:\Angiogenix 1001 Sequence.txt
                                                                                same
                Output Set: N:\CRF4\04292005\J645304A.raw
70 <400> SEQUENCE: 4
72 Cys Cys Cys Gly Gly Gly
73 1
76 <210> SEQ ID NO: 5
77 <211> LENGTH: 7
78 <212> TYPE: PRT
79 <213> ORGANISM: (Artificial
81 <220> FEATURE:
82 <223> OTHER INFORMATION ( Alanine with a 16 carbon alkyl chain attached
84 <400> SEQUENCE: 5
86 Ala Ala Ala Gly Gly Gly
87 1
90 <210> SEQ ID NO: 6
91 <211> LENGTH: 7
92 <212> TYPE: PRT
93 <213> ORGANISM Artificial
95 <220> FEATURE:
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98 <400> SEQUENCE: 6
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101 1
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109 <220> FEATURE:
110 <223> OTHER INFORMATION (Cystein with a 16 carbon alkyl chain attached
112 <400> SEQUENCE: 7
114 Cys Cys Cys Cys Gly Gly Gly
115 1
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123 <220> FEATURE:
124 <223> OTHER INFORMATION: (Alanine with a 16 carbon alkyl chain attached
126 <400> SEQUENCE: 8
128 Ala Ala Ala Gly Gly Gly
129 1
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133 <211> LENGTH: 7
134 <212> TYPE: PRT
135 <213> ORGANISM: Artificial
137 <220> FEATURE:
138 <223> OTHER INFORMATION; Serine with a 16 carbon alkyl chain attached
140 <400> SEQUENCE: 9
142 Ser Leu Ser Leu Gly Gly Gly
143 1
146 <210> SEQ ID NO: 10
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DATE: 04/29/2005

PATENT APPLICATION: US/10/645,304A TIME: 15:13:57 Input Set : D:\Angiogenix 1001 Sequence.txt Output Set: N:\CRF4\04292005\J645304A.raw 147 <211> LENGTH: 7 148 <212> TYPE: PRT 149 <213> ORGANISM: Artificial 151 <220> FEATURE: 152 <223> OTHER INFORMATION: Cystein with a 16 carbon alkyl chain attached 154 <400> SEQUENCE: 10 156 Cys Cys Cys Gly Gly Gly 157 1 160 <210> SEQ ID NO: 11 161 <211> LENGTH: 7 162 <212> TYPE: PRT 163 <213> ORGANISM: Artificial 165 <220> FEATURE: 166 <223> OTHER INFORMATION: Alanine with a 16 carbon alkyl chain attached 168 <400> SEQUENCE: 11 170 Ala Ala Ala Gly Gly Gly 171 1 174 <210> SEQ ID NO: 12 175 <211> LENGTH: 7 176 <212> TYPE: PRT 177 <213> ORGANISM: Artificial 179 <220> FEATURE: 180 <223> OTHER INFORMATION: Serine with a 16 carbon alkyl chain attached 182 <400> SEQUENCE: 12 184 Ser Leu Ser Leu Gly Gly Gly 185 1 5 188 <210> SEQ ID NO: 13 189 <211> LENGTH: 7 190 <212> TYPE: PRT 191 <213> ORGANISM: Artificial 193 <220> FEATURE: 194 <223> OTHER INFORMATION: Cystein with a 16 carbon alkyl chain attached 196 <400> SEQUENCE: 13 198 Cys Cys Cys Gly Gly Gly 199 1 202 <210> SEQ ID NO: 14 203 <211> LENGTH: 7 204 <212> TYPE: PRT 205 <213> ORGANISM: Artificial 207 <220> FEATURE: 208 <223> OTHER INFORMATION Alanine with a 16 carbon alkyl chain attached 210 <400> SEOUENCE: 14 212 Ala Ala Ala Gly Gly Gly 213 1 216 <210> SEQ ID NO: 15 217 <211> LENGTH: 7

RAW SEQUENCE LISTING

219 <213> ORGANISM: Artificial

218 <212> TYPE: PRT

221 <220> FEATURE:

DATE: 04/29/2005

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PATENT APPLICATION: US/10/645,304A
                                                         TIME: 15:13:57
                Input Set : D:\Angiogenix 1001 Sequence.txt
                Output Set: N:\CRF4\04292005\J645304A.raw
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233 <213> ORGANISM: Artificial
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236 <223> OTHER INFORMATION: Cystein with a 16 carbon alkyl chain attached
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244 <210> SEQ ID NO: 17
245 <211> LENGTH: 7
246 <212> TYPE: PRT
247 <213> ORGANISM: Artificial
249 <220> FEATURE:
250 <223> OTHER INFORMATION: Cystein with a 16 carbon alkyl chain attached
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255 1
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259 <211> LENGTH: 7
260 <212> TYPE: PRT
261 <213> ORGANISM: Artificial
263 <220> FEATURE:
264 <223> OTHER INFORMATION: Serine with a 16 carbon alkyl chain attached
266 <400> SEOUENCE: 18
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269 1
272 <210> SEQ ID NO: 19
273 <211> LENGTH: 7
274 <212> TYPE: PRT
275 <213> ORGANISM: Artificial
277 <220> FEATURE:
278 <223> OTHER INFORMATION: Cystein with a 16 carbon alkyl chain attached
280 <400> SEQUENCE: 19
282 Cys Cys Cys Gly Gly Gly
283 1
286 <210> SEQ ID NO: 20
287 <211> LENGTH: 7
288 <212> TYPE: PRT
289 <213> ORGANISM: Artificial
291 <220> FEATURE:
292 <223> OTHER INFORMATION: Alanine with a 16 carbon alkyl chain attached
294 <400> SEQUENCE: 20
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297 1
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RAW SEQUENCE LISTING

RAW SEQUENCE LISTING DATE: 04/29/2005
PATENT APPLICATION: US/10/645,304A TIME: 15:13:57

Input Set: D:\Angiogenix 1001 Sequence.txt
Output Set: N:\CRF4\04292005\J645304A.raw

300 <210> SEQ ID NO: 21 301 <211> LENGTH: 7 302 <212> TYPE: PRT 303 <213> ORGANISM: Artificial 305 <220> FEATURE: 306 <223> OTHER INFORMATION: Serine with a 16 carbon alkyl chain attached 308 <400> SEQUENCE: 21 310 Ser Leu Ser Leu Gly Gly Gly 311 1 314 <210> SEQ ID NO: 22 PLS explain source 315 <211> LENGTH: 7 316 <212> TYPE: PRT 317 <213> ORGANISM: Artificial 319 <220> FEATURE: 320 <223> OTHER INFORMATION: (X is 2,3-diaminopropionic acid 323 <220> FEATURE: 324 <221> NAME/KEY: misc\_feature 325 <222> LOCATION: (5)..(7) 326 <223> OTHER INFORMATION: Xaa can be any naturally occurring amino acidO 328 <400> SEQUENCE: 22 W--> 330 Ser Leu Ser Leu Xaa Xaa Xaa

> See item#11 on erronsummary 5 heet,

331 1

RAW SEQUENCE LISTING ERROR SUMMARY DAT PATENT APPLICATION: US/10/645,304A

DATE: 04/29/2005 TIME: 15:13:58

Input Set : D:\Angiogenix 1001 Sequence.txt
Output Set: N:\CRF4\04292005\J645304A.raw

## Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:22; Xaa Pos. 5,6,7

## Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22

VERIFICATION SUMMARY

DATE: 04/29/2005 TIME: 15:13:58

PATENT APPLICATION: US/10/645,304A

Input Set: D:\Angiogenix 1001 Sequence.txt
Output Set: N:\CRF4\04292005\J645304A.raw

L:330 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:22 after pos.:0